

08 April 2016  
The Manager  
Australian Securities & Investments Commission  
Level 5, 100 Market Street  
Sydney NSW 2000

Dear Sir/Madam,

**Nomura Financial Products & Services, Inc.**  
**Notification under Rule 3.1.1 ASIC Derivative Transaction Rules (Clearing) Rules ("ASIC Clearing Rules")**

This is the written notification of Nomura Financial Products & Services, Inc. in accordance with subrule 3.1.1(2) of the ASIC Clearing Rules, the following information is provided:

Name of entity: Nomura Financial Products & Services, Inc.

LEI of entity: 549300M710DSN29NN391

This notification is given in its Personal Capacity (as defined in the ASIC Clearing Rules).

Nomura Financial Products & Services, Inc. will become a Foreign Clearing Entity on the Clearing Start Date (as defined in the ASIC Clearing Rules).

We hereby notify ASIC that the Clearing Start Date applicable to Nomura Financial Products & Services, Inc. is Monday, 4 July 2016.

The details of the Nomura staff member who should be contacted in relation to this notification are Johanna Finlayson, [johanna.finlayson@nomura.com](mailto:johanna.finlayson@nomura.com).

Yours sincerely



Eiji Nagaki

Head of the Trading Dept.